

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Hoffman et al.
Serial No.: 09/696,762
Filed: October 25, 2000
For: INVESTMENT ADVICE SYSTEMS AND METHODS
Examiner: Nga B. Nguyen
Art Unit: 3628

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Amendment B and Response to Office Action mailed August 12, 2004 (45 pgs.);
3. Declaration of Paul Samuelson, Ph.D. Under 37 C.F.R. §1.132 (7 pgs.);
4. Appendix I (2 pgs.);
5. Appendix II (2 pgs.);
6. Petition for Extension of Time (1 pg.);
7. Check in the amount of \$1,020.00 to cover three month extension fee; and
8. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

No additional fees are believed due. However, the Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 24309-501. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,



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Dated: January 31, 2005